IN THE UNITED STATES PATENT AND TRADEMARK OFFICE UTILITY PATENT APPLICATION TRANSMITTAL

(For new non-provisional applications under 37 CFR § 1.53(b))

Atty. Dkt. No: 5760-12600] §	2 C C C C C C C C C C C C C C C C C C C
Inventor(s): Paul L. Popelka Manish M. Agarwal	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10 "Express Mail" mailing label number: EV 318246872 US DATE OF DEPOSIT: July 25, 2003 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated
Title: Network File System Record Lock Recovery in a Highly Available Environment	9 &	above and is addressed to: Commissioner for Patents M/S Patent Application P.O. Box 1450 Alexandria, VA 22213-1450 Heather Niemeyer

Application Elements

1. Filing Fee

The filing fee is calculated as shown below.

Total Claims	37	-20=	17	x \$18.00=	\$306.00
Independent	1		T		
Claims	5	-3 =	2	x \$84.00=	\$168.00
Multiple Depe	ndent C	laims		Fee:	\$0.00
7				Basic Fee:	\$750.00
·.				Assignment Fee	\$40.00
				Sub-Total:	\$1,264.00
		Reduction	by 50%	for Small Entity:	\$0.00
				Total:	\$1,264.00

Fee Authorizations for fees are enclosed.

The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C., Deposit Account No. 501505/5760-12600/J.IM

501505/5	760-12600/LJM.
One dup	licate copy of this form is enclosed.
2. Specification	1
24 page(s	s) of specification; 8 page(s) of claims, 1 page(s) of abstract
3. 🛛 Drawings	
Informal	Figure(s) 1-10 on 10 sheet(s)
Oath or Dec	laration
Newly	y executed
Сору	from a prior application (see 37 C.F.R. § 1.63(d))
Deleti	on of Inventor(s) (in continuation or divisional applications):
□ De	elete the following inventor(s) named in the prior non-provisional application:
. Пті	ne inventor(s) to be deleted are set forth on a signed sheet attached hereto.
	· · · · · · · · · · · · · · · · · · ·

accompanying application and is hereby incorporated by reference herein.
6. Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
Computer Readable copy
Paper Copy (identical to computer copy)
Statement verifying identity of above copies
8. Assignment Papers
9. Power of Attorney
☐ Is attached.
The power of attorney appears in the original papers of the prior application.
Since the power does not appear in the original papers, a copy of the power in the prior
application is enclosed.
10. Information Disclosure Statement (IDS)
Copies of IDS Citations
11. Amendments
A preliminary amendment is enclosed.
Cancel in this application claim(s) before calculating the filing fee. At least one
independent claim is retained for filing purposes.
·
Amend the specification by inserting before the first line the sentence:
12. Return Receipt Postcard
13. Small Entity Status
A small entity statement is enclosed.
A small entity statement was filed in the prior non-provisional application and such status is
still proper and desired.
☐ Is no longer claimed
☐ Is no longer claimed.
14. Priority of foreign application number, filed on in is claimed under
14. Priority of foreign application number, filed on is claimed under 35 U.S.C. §§ 119(a)-(d)
14. Priority of foreign application number, filed on is claimed under 35 U.S.C. §§ 119(a)-(d) 15. Petition under 37 C.F.R. § 136 for Extension of Time
14. Priority of foreign application number, filed on is claimed under 35 U.S.C. §§ 119(a)-(d)
14. ☐ Priority of foreign application number, filed on is claimed under 35 U.S.C. §§ 119(a)-(d) 15. ☐ Petition under 37 C.F.R. § 136 for Extension of Time 16. ☐ Other: Request and Certification under 122(b)(2)(B)(i)
14. ☐ Priority of foreign application number, filed on is claimed under 35 U.S.C. §§ 119(a)-(d) 15. ☐ Petition under 37 C.F.R. § 136 for Extension of Time 16. ☐ Other: Request and Certification under 122(b)(2)(B)(i) Address all future correspondence to:
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14. Priority of foreign application number, filed on is claimed under 35 U.S.C. §§ 119(a)-(d) 15. Petition under 37 C.F.R. § 136 for Extension of Time 16. Other: Request and Certification under 122(b)(2)(B)(i) Address all future correspondence to: Lawrence J. Merkel Customer Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. No. 35690 P.O. Box 398
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PTO/SB/35 (11-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: EV 318246872 US DATE OF DEPOSIT: July 25, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Commissioner for Patents
M/S Patent Application
P.O. Box 1450
Alexandria, VA 223/3-1450

Heather Niemeyer

REQUEST AND CERTIFICATION UNDER

35 U.S.C. 122(b)(2)(B)(i)

Atty Docket Number:

5760-12600

First Named Inventor:

Paul L. Popelka

Title:

Network File System Record Lock Recovery in a Highly Available Environment

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b)

July 25, 2003

Date

Signature

Lawrence J. Merkel

41,191

Typed or printed name

Reg. No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT (5760-12600/VRTS 0289)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.: Filed:

Herewith

Inventor(s):

Paul L. Popelka Manish M. Agarwal

Title:

Network File System

Record Lock Recovery in a

Unknown

Highly Available Environment

Examiner:

Unknown

Group/Art Unit:

Unknown

Atty. Dkt. No:

5760-12600

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Commissioner for Patents M/S Patent Application P.O. Box 1450

Heather Niemeyer

FEE AUTHORIZATION

Commissioner for Patents M/S Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fee to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 501505/5760-12600/LJM:

Fee: Filing Fee

Amount: \$750.00

Attorney Docket No.: 5760-12600

Fee: Excess Claims

Amount: \$474.00

Attorney Docket No.: 5760-12600

Respectfully-submitted

Lawrence/J. Merkel Reg. No. 41,191

Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.

P.O. Box 398

Austin, TX 78767-0398

(512) 853-8800

Date: July 25, 2003